Extant Aerospace
1615 West NASA Blvd.
Melbourne, FL 32901-2613
Phone: 321-254-1500
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ANNUAL OFFEROR REPRESENTATIONS AND CERTIFICATIONS – DIRECT PROCUREMENTS

SIGNATURE / CERTIFICATION: By signing below, the offeror certifies, the Representations and Certifications are accurate, current, and complete and may be subject to liability under the False Claims Act. The offeror further certifies it will notify the [Company] Procurement Office of any changes to these representations and certifications. Certifying affirmatively asserts your understanding and compliance with these Representations and Certifications.

Signature of Officer or Employee Responsible for the Offeror	Date of Execution (mm/dd/yyyy)
Name	Legal Name of Offeror's Organization
Title	Street Address
Contact Phone No.	City, State, and Postal Code
Taxpayer Identification No. (TIN) or Social Security Number (SSN)	District
Dun & Bradstreet (D-U-N-S) Number	Email Address
Primary NAICS Code*	Secondary NAICS Code*

1. 52.219-8(e)(1) and 52.219(c)(2)(i) Representations of Business Size and Socioeconomic Status

Business Classification (check one):

☐ **Foreign Corporation or entity *(Foreign Corporations or entity must complete questions 2 through 10*)**

☐ **LARGE BUSINESS**

☐ **SMALL BUSINESS**
To identify the appropriate small business size standard, visit SBA.gov/NAICS and enter Offeror's primary NAICS Code to determine small business status according to US Small Business Administration (SBA) guidelines found at www.sba.gov

☐ **NON-PROFIT**

Small Business Administration socio-economic categories (check all that apply):

☐ **SMALL DISADVANTAGED BUSINESS (SDB) ***

☐ **HISTORICALLY UNDERUTILIZED BUSINESS ZONE (HUBZONE) SMALL BUSINESS***

☐ **WOMAN-OWNED SMALL BUSINESS (WOSB)**

☐ **VETERAN-OWNED SMALL BUSINESS (VOSB)**

☐ **SERVICE-DISABLED VETERAN-OWNED SMALL BUSINESS (SD-VOSB)**

☐ **ALASK NATIVE CORPORATIONS (ANC) AND INDIAN TRIBES**

☐ **HISTORICALLY BLACK COLLEGE OR UNIVERSITY/MINORITY INSTITUTION (HBCU/MI)**

52.219-8(e)(2) and 52.219-9(c)(2)(ii) Representations of business size and socioeconomic status in the System for Award Management (SAM).
The Offeror ☐ is ☐ is not presently registered in SAM.
If registered in SAM, the Offeror certifies that the size and socioeconomic status representations made in SAM
☐ are ☐ are not current, accurate and complete as of the date of the offer.

Under 15 U.S.C. 645(d) (2), any person who misrepresents its size shall (a) be punished by a fine, imprisonment, or both; (b) be subject to administrative remedies; (c) be subject to suspension and debarment as specified in subpart 9.4 of title 48, Code of Federal Regulations; (d) be ineligible for participation in programs conducted under the authority of the Small Business Investment Act of 1958 (15 U.S.C. 661 et seq.).

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2. COMMON PARENT AND PREDECESSOR OF OFFEROR (FAR 52.204-17, 52.204-20)

☐ Offeror is not owned or controlled by a common parent.
☐ Name and TIN of common parent: Name: _____ TIN: _____
☐ Offeror, its parent company, or subsidiaries, is/has been owned or controlled by a foreign entity.

The Offeror represents that it is or is not a successor to a predecessor that held a Federal contract or grant within the last three years.

3. REGISTRATION WITH THE DIRECTORATE OF DEFENSE TRADE CONTROLS (DDTC)

The offeror certifies that it ☐ **IS** ☐ **IS NOT** required to be registered to manufacture or export defense articles or furnish defense services as required by the International Traffic in Arms Regulations (22 CFR Part 122). If required to be registered, the offeror certifies it is currently registered with the DDTC.

4. PRESENCE OF CONFLICT MINERALS IN PRODUCTS SUPPLIED BY OFFEROR

Offeror certifies that it complies with Section 240.13p-1 of the Dodd-Frank Wall Street Reform and Consumer Protection Act.

Conflict Minerals have been defined by the SEC as consisting of the following: Tantalum, extracted from Columbite-Tantalite, or Coltan; Tin, extracted from Cassiterite; Gold; and Tungsten, extracted from Wolframite, when these minerals have originated from a *Covered Country*, which includes Angola, Burundi, Central African Republic, the Republic of the Congo, Democratic Republic of Congo, Rwanda, South Sudan, Tanzania, Uganda, and Zambia.

Are Conflict Minerals necessary to the functionality or production of any products which offeror proposes to supply as part of its proposal/materials to [Company]?

☐ **YES** – (Please attach a list to completed form) ☐ **NO**

5. ACCOUNTING AND BUSINESS SYSTEM ADEQUACY REPRESENTATION

For [Company] to determine the adequacy of the Offeror's accounting and business systems to meet the cost accumulation and reporting requirements of Government contracts, the offeror shall provide the following information:

1) What is offeror's current fiscal year? _____ Start Date _____ End Date

2) **Government Audit Agency**:
Name of Agency:
Address:
Name of Auditor:

Telephone: _____

Cognizant Administrative Contracting Officer (CACO):
Name of Agency:
Telephone:
Address:
Name of CACO:

3) Has offeror been awarded a federal **government prime contract** in the past 3 years**? YES** ☐ **NO** ☐
If **YES**, check the applicable type(s) below:
- ☐ a. Cost-Reimbursement, Contract Number
- ☐ b. Labor Hour/Time and Materials, Contract Number
- ☐ c. Fixed Price, Contract Number

4) Accounting System - Does offeror have a federal government approved accounting system**? YES** ☐ **NO** ☐
If **YES**, answer below:
- a. Date of approval_____
- b. Government office granting approval (if different than above) _____
- c. Audit Report Number _____
- d. Upon [Company]'s request, offeror shall provide a copy of such approval letter.

5) Purchasing System - Does offeror have a federal government approved purchasing system? **YES** ☐ **NO** ☐
If **YES**, answer below:
- a. Date of approval_____
- b. Government office granting approval (if different than above) _____
- c. Audit Report Number _____
- d. Upon [Company]'s request, offeror shall provide a copy of such approval letter.

6) Property System - Does offeror have a federal government approved property system? **YES** ☐ **NO** ☐

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7) If **YES**, answer below:
   a. Date of approval_____
   b. Government office granting approval (if different than above)_____
   c. Audit Report Number _____
   d. Upon [Company]'s request, offeror shall provide a copy of such approval letter.

8) In addition to the offeror's business systems (from DFAR 252.242-7005) identified above, does offeror have any other business systems (e.g., Estimating, EVMS, MMAS.) that have been audited and deemed inadequate?

9) Is offeror's organization subject to Cost Accounting Standards (CAS) (48 CFR Chapter 99)? **YES**☐ **NO** ☐
If **YES**, is it Full or Modified CAS? ☐ Full ☐ Modified

10) Cost Accounting Disclosure Statement - Does offeror have adequate disclosure statements?
If **YES**, answer below:
   a. Date of approval_____
   b. Government office granting approval (if different than above) _____
   c. Audit Report Number _____
   d. Upon [Company]'s request, vendor shall provide a copy of approval letter.

11) If offeror does not have an approved CAS Disclosure Statement or approved accounting system, the offeror's cost accounting system is:
   a. ☐ Job order type
   ☐ b. Process type
   ☐ c. Other, describe (e.g. QuickBooks, Peachtree)

12) Have the offeror's provisional indirect billing/bidding rates been evaluated and approved by a government agency**?** **YES**☐ **NO** ☐
13) If **YES**, answer below:
   a. Period covering _____
   b. Agency granting approval

14) Has the offeror had Accepted Incurred Cost Submissions? **YES** ☐ **NO** ☐
15) If **YES**, answer below:
   a. Date of the last Incurred Cost Submission:
   b. Period of the last Incurred Cost Submission:
   c. Incurred Cost Submissions have been audited with an Audit Report issued through what fiscal year?
   d. Has offeror adjusted its billings to reflect changes for Year-end, Interim and Final Rate?

6. CERTIFICATION REGARDING RESPONSIBILITY MATTERS, TAX LIABILITY AND FELONY CONVICTION (FAR 52.209-5, 52.209-11)

The Offeror certifies, to the best of its knowledge and belief, that the Offeror and/or any of its Principals -

☐ **Are** ☐ **Are Not** presently debarred, suspended, proposed for debarment, or declared ineligible for the award of contracts by any Federal agency;

☐ **Have** ☐ **Have Not** within a three-year period preceding this offer, been convicted of or had a civil judgment rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, state, or local) contract or subcontract; violation of Federal or state antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, violating Federal criminal tax laws, or receiving stolen property (if offeror checks "have", see FAR 52.209-7, if included in the solicitation)

☐ **Are** ☐ **Are Not** presently indicted for, or otherwise criminally or civilly charged by a governmental entity with, commission of any of the offensesenumerated in paragraph (a)(1)(i)(B) of this provision.

☐ **Have** ☐ **Have Not** Within a three-year period preceding this offer, been notified of any delinquent Federal Taxes in an amount that exceeds $3,500 for which the liability remains unsatisfied.

☐ **Has** ☐ **Has Not** within a three-year period preceding this offer, had one or more contracts terminated for default by any Federal agency.

☐ **Is** ☐ **Is Not** a corporation that has any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability; and

☐ **Is** ☐ **Is Not** a corporation that was convicted of a felony criminal violation under a Federal law within the preceding 24 months.

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7. PREVIOUS CONTRACTS AND COMPLIANCE REPORTS (FAR 52.222-22)

The offeror represents that:

It ☐ has ☐ has not participated in a previous contract or subcontract subject to the Equal Opportunity Clause of this solicitation

It ☐ has ☐ has not filed all required compliance reports; and

Representations indicating submission of required compliance reports, signed by proposed subcontractors, will be obtained before subcontract awards.

☐ It is exempt (less than 50 employees).

8. AFFIRMATIVE ACTION COMPLIANCE (FAR 52.222-25)

The offeror represents that:

It ☐ has developed and has on file, ☐ has not developed and does not have on file, at each establishment, affirmative action programs required by the rules and regulations of the Secretary of Labor (41 CFR 60-1 and 60-2);

☐ It has not previously had contracts subject to the written affirmative action programs requirement of the rules and regulations of the Secretary of Labor; or

☐ It is exempt (less than 50 employees and/or less than $50,000 in Government contracts during prior 12-month period).

9. **REPORTING EXECUTIVE COMPENSATION AND FIRST-TIER SUBCONTRACT AWARDS - FAR 52.204-10 (OCT 2016)**

The offeror represents that:
If a Subcontract or Purchase Order award to Offeror has an expected value of $30,000 or more in support of a prime contract, [Company] must, subject to certain exceptions, gather and publicly report information regarding the award in accordance with FAR 52.204-10.

Has Offeror had gross income under $300,000 in the previous tax year?

☐ **YES** ☐ **NO**

If Yes, Offeror is not required to complete Section 10. If No, Offeror must proceed to Section 10.

10. TOTAL COMPENSATION OF OFFERER'S EXECUTIVES

(a) Definitions. See 52.204-10 for list of definitions as used in this provision.

(b) In Offeror's preceding fiscal year, did Offeror receive 80 percent or more of its annual gross revenues from Federal contracts (and subcontracts), loans, grants (and subgrants), cooperative agreements, and other forms of Federal financial assistance?

☐ **YES** ☐ **NO**

If Yes, proceed to (c), below. If No, Offeror is not required to complete the remainder of this Section 10.

(c) In Offeror's preceding fiscal year, did Offeror receive $25,000,000 or more in annual gross revenues from Federal contracts (and subcontracts), loans, grants (and subgrants), cooperative agreements, and other forms of Federal financial assistance?

☐ **YES** ☐ **NO**

If Yes, proceed to (d), below. If No, Offeror is not required to complete the remainder of this Section 10.

(d) Does the public have access to information about the compensation of the Executives through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986? (To determine if the public has access to the compensation information, see the U.S. Security and Exchange Commission total compensation filings at http://www.sec.gov/answers/execomp.htm)

☐ **YES** ☐ **NO**

If Yes, Offeror is not required to complete the remainder of this Section 10. If No, proceed to (e), below.

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(e) Offeror must provide in the space below the names and total compensation of each of Offeror's five most highly compensated Executives for Offeror's completed fiscal year preceding the date specified in Section C below. If [COMPANY] awards Offeror a Subcontract or Purchase Order, Offeror shall update the information in this Section 10 for Offeror's fiscal year preceding the date of award of such Subcontract or Purchase Order:

	Executive Name:	**Executive Total Compensation**
1.	_____	_____
2.	_____	_____
3.	_____	_____
4.	_____	_____
5.	_____	_____

(f) ☐ Offeror hereby acknowledges and agrees that Offeror shall, at the time of award that is subject to FAR 52.204-10, provide [Company] the Offeror's applicable and current information which [Company] is required by law to report to the US Government and which information will be made available to the public.

11. ANTI-LOBBYING COMPLIANCE

☐ Offerer hereby acknowledges and agrees that Offerer shall fully comply with FAR 52.203-11 *Certification and Disclosure Regarding Payments to Influence Certain Federal Transactions* and FAR 52.203-12 *Limitation on Payments to Influence Certain Federal Transactions*.

12. CYBERSECURITY

☐ Offerer hereby acknowledges and agrees that Offerer shall fully comply with DFARS 252.204-7012 *Safegaurding Covered Defense Information and Cyber Incident Reporting* and FAR 52.204-21 *Basic Safeguarding of Covered Contractor Information Systems*.

☐ Offerer hereby acknowledges and confirms that Offerer is in compliance with the National Industrial Sercuity Program Operating Manual (NIPSOM).

☐ Offerer hereby acknowledges and confirms that National Institute of Standards and Technology (NIST) Special Publication (SP) 800-171 and NIST SP 800-53 have been implemented

The following FAR and DFARS provisions are provided for reference. They do not require completion of any data. Offeror's signature on page one asserts that offeror complies with each of these provisions.

FAR 52.203-2: Certificate of Independent Price Determination

FAR 52.203-11: Certification and Disclosure Regarding Payments to Influence Certain Federal Transactions

FAR 52.203-18: Prohibition on Contracting with Entities that Require Certain Internal Confidentiality Agreements or Statements - Representation

FAR 52.209-2, 52.209-10: Prohibition on Contracting with Inverted Domestic Corporations

FAR 52.212-5: Contract Terms and Conditions Required to Implement Statutes or Executive Orders-Commercial Items

FAR 52.222-38: Compliance with Veterans' Employment Reporting Requirements

FAR 52.222-50, 52.222-56: Combating Trafficking in Persons

FAR 52.223-22: Public Disclosure of Greenhouse Gas Emissions and Reduction Goals - Representation.

FAR 52.225-20: Prohibition on Conducting Restricted Business Operations in Sudan - Certification

FAR 52.225-25: Prohibition on Contracting with Entities Engaging in Sanctioned Activities Relating to Iran – Representations and Certifications

FAR 52.227-6: Royalty Information

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DFARS 252.209-7002: Disclosure of Ownership or Control by a Foreign Government

DFARS 252.209-7003: Reserve Officer Training Corps and Military Recruiting on Campus-Representation

DFARS 252.216-7008: Economic Price Adjustment - Wage Rates or Material Prices Controlled by a Foreign Government - Representation.

DFARS 252.222-7007: Representation Regarding Combating Trafficking in Persons

DFARS 252.225-7000: Buy American - Balance of Payments Program Certificate

DFARS 252.225-7003: Report of Intended Performance Outside the United States and Canada-Submission with Offer

DFARS 252.225-7031: Secondary Arab Boycott of Israel

DFARS 252.225-7042: Authorization to Perform

DFARS 252.225-7049: Prohibition on Acquisition of Commercial Satellite Services from Certain Foreign Entities - Representations

DFARS 252.225-7050: Disclosure of Ownership or Control by the Government of a Country that is a State Sponsor of Terrorism (Is Not)

DFARS 252.229-7012: Tax Exemptions (Italy) - Representation

DFARS 252.229-7013: Tax Exemptions (Spain) - Representation

DFARS 252.239-7011: Special Construction and Equipment Charges

DFARS 252.247-7023: Transportation of Supplies by Sea (Alternate I, I